Liquid Capital Statement

for the month of 31-AUG-21

of Ms. Sakarwala Capital Sec.(Pvt.) Ltd

Submission Date 15-SEP-2021 17:56:22

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
1	Assets			
1.1	Property & Equipment			
1.2	Intangible Assets	8,393,895	8,393,895	
1.3	Investment in Govt. Securities Investment in Debt. Securities	3,250,000	0	
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	65,396,648	19,713,387	45,683,261
	respective securities whichever is higher.			
	ii. If unlisted, 100% of carrying value. iii. Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)	60,022,635	60,022,635	
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Panks against Chart Torm Financing groups and the hairs ut as provided in			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
	ii. If unlisted, 100% of net value.			
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity.	4,010,000	4,010,000	
1.9	Margin deposits with exchange and clearing house.	19,207,000	0	19,207,000
1.10 1.11	Deposit with authorized intermediary against borrowed securities under SLB. Other deposits and prepayments			
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities			
	etc.(Nil)			
1.13	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables.			
1.14	Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months			
	ii. Receivables other than trade receivables	40 400 500	40,400,500	
1.16	Receivables from clearing house or securities exchange(s)	18,139,536	18,139,536	
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains.	570,422	0	570,422
1.17	ii. Receivable on entitlements against trading of securities in all markets including MtM gains. Receivables from customers	570,422	0	570,422
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the	10,432,579	8,568,642	8,568,642
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	3,035,032	0	3,035,032
	iv. Balance sheet value	, ,		, ,
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	3,123,140	1,218,116	1,218,116
	value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.		2	
1.18	vi. 100% haircut in the case of amount receivable form related parties. Cash and Bank balances	3,155,368	3,155,368	
	i. Bank Balance-proprietory accounts	41,080,593	0	41,080,593
	ii. Bank balance-customer accounts	85,774,159		
L	iii. Cash in hand			

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for the month of 31-AUG-21

of Ms. Sakarwala Capital Sec.(Pvt.) Ltd

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Pak Rupee Adjustments Value					
Libbillides	S.No.	Head of Account	Value in Pak Rupee		Net Adjusted Value
Lobelities Payables to exchange and cleaning house	1.19	Total Assets	325,591,007	123,221,579	205,137,225
Ende Payables	2				
Expected to exchanges and clearing house	2.1				
Lang-term francing blatmed from francial institution bedden in the financial statements 1.0					
Current Liabilities Locaus and other population Locaus and o		ii. Payable against leveraged market products			
Setutory and requisitory dues If According the other properties of the setutory and the setutory dues If Setutory and requisitory dues If Setutory and the s	2.2		85,995,545	0	85,995,545
ii. Accurate and other paraeties iii. Short in term browwing. iv. Current portion or abolished loss. iv. Deference (Lebilities) vi. Persence (Lebilities) vi. Persence (Lebilities) vi. Persence (Lebilities) vi. Persence (Lebilities) vii. Provision for taxation viii. Viii. Provision for the provision of financing viii. Provision for taxation viii. Provision for taxation viii. Provision for taxation viii. Provision for financing viii. Provision for taxation viii. Provision for financing viii. Pro	2.2		4.504		4.504
iii Short term benowings V. Carrent pottion of build bear historians and ones V. Carrent pottion of bland term liabilists iii. Provision for land self-six iii. Long-term financial a financial institution including amount-due against financial lands iii. Long-term financial iii. Self-ricitiement bearings iii. Self-ricitie				-	
iv. Current portion of subordinated cloans v. Custom portion of subordinated cloans v. Custom portion of subordinated v. Defend Liabilities vi. Defend Liabilities vi. Defend Liabilities vi. Other liabilities as per accounting principles and included in the financial statements vi. Other liabilities as per accounting principles and included in the financial statements vi. Other liabilities vi. Other liabilities as per accounting principles and included in the financial statements vi. Other liabilities as per accounting principles and included in the financial statements vi. Other liabilities are per accounting obtained from financial institution: Long-term financing obtained from a remarkable included in the subordinal or developed produced to a significant financial institution included in the subordinal or developed produced to a significant financial institution included in the subordinal or developed produced in the subordinal or developed produced in the subordinal or developed produced in the subordinal of a subordinal or developed produced in the subordinal or developed produced in the subordinal or developed produced in the subordinal or the inclusions in part of p			103,430	0	109,430
vi. Deferred Liabilities vii. Provision for bias debts viii. Provision		iv. Current portion of subordinated loans			
will Provision for busides will Revision for transplace will revision for the submitted as per accounting principles and included in the financial statements Long-Term financing a tong-Term financing obtained from financial institution. Long term portion of financing obtained from which the submitted from the submitted of the submitted from the subm					
Vil. Provision for traation Vil. Provision for transcription Vil. Provision V					
S. Other liabilities as per accounting pinciples and mekded in the financial statements					
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a Long-Term financing obtained from financial institution including amount due against finance lease b. Other long-term financing Listar filetilement Demit the Institution including amount due against finance lease b. Other long-term financing Listar filetilement Demit the Institution of the Capital of Securities broken 10% halcut may be allowed in tespect of advances against shares: a. The existing authorized share capital allows the proposed enhanced share capital to Boad of Directors of the company has approved the increase in capital celebrate of the Institution of the Ins	2.3	Non-Current Liabilities			
II. Start retitement benefits III. Advance against shares for increase in Capital of Securities broker: 100% halicult may be allowed in respect of advance against shares if.		a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease			
respect of advance against shares if: a. The existing authorised share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. Interest in the paid of t		ii. Staff retirement benefits			
b. Boad of Directos of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital. V. Other liabilities are per accounting principles and included in the financial statements. 2.4 Subordinated Loans L. 1008 of Subordinated loans which furfill the conditions specified by SECP are allowed to be deducted: the Schedule Ill provides that 100% haircut will be allowed against subordinated Loans which furfill the conditions specified by SECP in this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period after 12 months of reporting period after 12 months of reporting period c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. i. Subordinated loans which do not fulfill the conditions specified by SECP 0 0 0 2.5 Total Liabilities 91,199,909 5,010,347 86,189,562 3.7 Ranking Liabilities 91,199,909 5,010,347 86,189,562 3.7 Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client- to- client basis by which any amount receivable from any of the financese exceed to 6f of the aggregate of amounts receivable from total financess. Concentration in securities lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPI. (ii) The market value of socurities pledged as margins exceed the 110% of the market value of shares borrowed. 3. Net underwriting Commitments (ii) the value by which the underwriting commitments and (ii) the value by which the underwriting commitments and (ii) the value by which the underwriting commitments and (ii) the v		respect of advance against shares if:			
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Subordinated Loans		relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital.			
100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:	2.4				
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The amount calculated client-to- client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total financees. 3.2 Concentration in securities lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price: the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of right issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments (b) in any other case: 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total sasets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO					
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(i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO		(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription			
(ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 5.5 Foreign exchange agreements and foreign currency positions 5.5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO					
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(b) in any other case : 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO					
3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO		the Haircut multiplied by the net underwriting			
The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO	2 /				
3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO	0.7	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO	2.5				
assets denominated in foreign cuurency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO	3.5				
		assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.1 Repo adjustment					
	3.7	Repo adjustment			

Liquid Capital Statement

for the month of 31-AUG-21

of Ms. Sakarwala Capital Sec.(Pvt.) Ltd

Submission Date 15-SEP-2021 17:56:22

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s.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
3.8	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser. Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	4,500,484	4,500,484	4,500,484
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short selll positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	11,876,210		
3.12	Liquid Capital	222,514,888	106,335,022	107,071,453