

Annexure "A"

To Be made on Rs.100/- Stamp paper

THIS UNDERTAKING is executed at [Karachi] this [] of [] 20__.

IN FAVOUR OF

National Clearing Company of Pakistan Limited (NCCPL), having its registered office at 8th Floor, Stock Exchange Building, Stock Exchange road, Karachi-74000,

NOW, THEREFORE, THIS UNDERTAKING AND INDEMNITY WITNESSETH AS FOLLOWS:

I Mr./Mrs./Ms. _____ resident of _____ bearing CNIC _____ submitting this undertaking that I am maintaining account with **Sakarwala Capital Securities Private Limited** for trade in Securities. For this purpose, I am providing mobile number _____ of my _____ (*Father, Mother, Son, Daughter, Husband, Company*) bearing CNIC _____ (Copy attached). It is hereby submitted that please add my provided contact details in NCCPL database and allow me to proceed for account opening process can be completed.

NCCPL has right to block my account incase my _____ (*Father, Mother, Son, Daughter, Husband, Company*) number is not get verified by Pakistan Mobile Database (PMD)

I solemnly declare that all the aforementioned information is complete and accurate and I am liable for any misinformation arising thereafter.

Signature: _____

Applicant Name: _____

CNIC: _____

Signature: _____

Name of Person (whose Mobile no. is provided): _____

CNIC: _____

WITNESSES:

1. SIGNATURE: _____

NAME: _____

CNIC:- _____

ADDRESS: - _____

2. SIGNATURE: _____

NAME:- _____

CNIC:- _____

ADDRESS: - _____

Annexure "B"
To be made on Rs. 100/- Stamp paper

AFFIDAVIT

I, **Muhammad Riaz** S/o of _____ Muslim Adult, holding CNIC No. (_____), resident of (_____, **Karachi**), do hereby state and declare on oath as under: -

- I. I am true deponent of this affidavit and well conversant with the facts deposed herein.
- II. My livelihood Occupation and Source of Income is **Service (Tuition's)**.
- III. I am Serving my students located at **Sir Riaz Coaching Centre**.
- IV. My Annual Gross Income is approximately PKR. **=700,000/-**.
- V. I am seeking to open Trading account with your company Sakarwala Capital Securities Pvt Ltd.
- VI. I will be abiding by all the rules and regulations of Sakarwala Capital Securities Pvt Ltd.

Whatever stated above is true and correct to the best of my knowledge and belief and nothing has been concealed or misstated.

Karachi.

February 23, 2021

Deponent

We,

1. _____ S/o of _____ of(address) _____.
2. _____ son of _____ of(address) _____.

Solemnly affirm that we know that above deponent and identify him as the same person as described above.

Signature of Witness (1)

Signature of Witness (2)

The above declaration has been solemnly affirmed on oath before me this 23 day of February, 2021 by the above deponent in the presence of the above witnesses who identified the above deponent.

Place: **Karachi**

Date : February 23, 2021

(Name and designation of the
Person administering oath)

Annexure "C"
To be made on Client Business Letter Head

Date: _____, 2022

Sakarwala Capital Securities Private Limited
114, 3rd Floor, PSX Building,
Karachi.

Subject: **Source of Income for Brokerage Account**

Dear Sir;

This with reference to the captioned subject, my source of income is from the firm namely _____ which is a **Sole Proprietor / Partnership**, my Annual Income is PKR _____

Designation in the Company	
Business Nature	
CNIC #	
Annual Income of Company	
NTN	

Kindly consider my account as soon as possible.

Kind regards,

Client Name
Designation

Annexure "D"
To Be Made on Rs.100/= Stamp Paper

AFFIDAVIT

I, **(Client Name)** S/o of **(Clients Father Name)** Muslim Adult, holding CNIC No. **(Clients NIC #)**, resident of **(Client Address)**, do hereby state and declare on oath as under: -

- I. I am true deponent of this affidavit and well conversant with the facts deposed herein.
- II. My livelihood Occupation and Source of Income is **Business**
- III. I am the lawful owner of **(Business/Shop Name)** located at **(Business/Shop Location)**.
- IV. My Annual Gross Income is approximately PKR. **(Client Annual Gross Income)**.
- V. I am Operating Trading account with your company Sakarwala Capital Securities Pvt Ltd.
- VI. I will be abiding by all the rules and regulations of Sakarwala Capital Securities Pvt Ltd.

Whatever stated above is true and correct to the best of my knowledge and belief and nothing has been concealed or misstated.

Karachi.

Month Day, Year

Deponent

We,

1. _____ S/o of _____ of(address) _____.
2. _____ son of _____ of(address) _____.

Solemnly affirm that we know that above deponent and identify him as the same person as described above.

Signature of Witness (1)

Signature of Witness (2)

The above declaration has been solemnly affirmed on oath before me this _____ day of _____, 20____
by the above deponent in the presence of the above witnesses who identified the above deponent.

Place _____

Date _____

(Name and designation of the
Person administering oath)

Annexure "E"
To be Made on Rs. 100/- Stamp Paper

AFFIDAVIT

I, _____ W/o of _____ Muslim Adult, holding **NICOP/CNIC/SNIC** No. _____ , resident of _____ , do hereby state and declare on oath as under: -

- I. I am true deponent of this affidavit and well conversant with the facts deposed herein.
- II. I am a **Housewife /Household / Student**, _____ is/are my source of Income
- III. My Source of Income is _____.
- IV. My Annual Gross Income is approximately PKR. _____.
- V. I am seeking to open Trading account with your company Sakarwala Capital Securities Pvt Ltd.
- VI. I will be abiding by all the rules and regulations of Sakarwala Capital Securities Pvt Ltd.

Whatever stated above is true and correct to the best of my knowledge and belief and nothing has been concealed or misstated.

Karachi.

Month Day, 2022

Deponent

Witness

We,

1. _____ S/o of _____ of(address) _____.
2. _____ son of _____ of(address) _____.

Solemnly affirm that we know that above deponent and identify him as the same person as described above.

Signature of Witness (1)

Signature of Witness (2)

The above declaration has been solemnly affirmed on oath before me this _____ day of _____, 20____ by the above deponent in the presence of the above witnesses who identified the above deponent.

Place _____

Date _____

(Name and designation of the
Person administering oath)

Annexure “F”
To be made on Rs. 100/- Stamp Paper

This Affidavit will be made by Male relative of a **Female** account holder who could be a housewife/household **dependent on the male relative** (and does not have their own legitimate source of income). He could be a Husband, Father, Brother, Son or any other relative. That male relative will be required to get his KYC done and submit documents, besides the KYC and documents of Female account holder. Attested copies of CNIC of Beneficial Owner, Account Holder, & Witnesses will be required.

Affidavit

I, _____ holding CNIC # _____ is a
(husband/father/brother/son/other) of Ms. _____ and a Beneficial Owner of
Trading Account # _____, and CDC Sub-Account # _____
with Sakarwala Capital Securities (Pvt.) Limited. The Account is in the name of my
(wife/daughter/sister/mother/other). I provide funds to her for the investment in the capital market and I
am solely responsible for this account.

(Signature of Beneficial Owner)

Name: _____

CNIC: _____

(Signature of Account Holder)

Name: _____

CNIC: _____

Witnesses:

Name: _____

CNIC: _____

Name: _____

CNIC: _____

Annexure "G"
To Be Made on Rs.100/= Stamp Paper

AFFIDAVIT

I, **(Client Name)** S/o of **(Clients Father Name)** Muslim Adult, holding CNIC No. **(Clients NIC #)** , resident of **(Client Address)**, do hereby state and declare on oath as under: -

- I. I am true deponent of this affidavit and well conversant with the facts deposed herein.
- II. My livelihood Occupation and Source of Income is **(Profession Name)**.
- III. I am the lawful owner of **(Office/company name)** located at **(Location)**.
- IV. My Annual Gross Income is approximately PKR. **(Client Annual Gross Income)**.
- V. I am Operating Trading account with your company Sakarwala Capital Securities Pvt Ltd.
- VI. I will be abiding by all the rules and regulations of Sakarwala Capital Securities Pvt Ltd.

Whatever stated above is true and correct to the best of my knowledge and belief and nothing has been concealed or misstated.

Karachi.

Month Day, Year

Deponent

We,

1. _____ S/o of _____ of(address) _____.
2. _____ son of _____ of(address) _____.

Solemnly affirm that we know that above deponent and identify him as the same person as described above.

Signature of Witness (1)

Signature of Witness (2)

The above declaration has been solemnly affirmed on oath before me this _____ day of _____, 20____
by the above deponent in the presence of the above witnesses who identified the above deponent.

Place _____

Date _____

(Name and designation of the
Person administering oath)

Annexure "H"
To Be Made on Rs.100/= Stamp Paper

AFFIDAVIT

I, **(Client Name)** S/o of **(Clients Father Name)** Muslim Adult, holding CNIC No. **(Clients NIC #)**, resident of **(Client Address)**, do hereby state and declare on oath as under: -

- I. I am true deponent of this affidavit and well conversant with the facts deposed herein.
- II. My livelihood Occupation and Source of Income is **(Agriculturist)**.
- III. I am the lawful owner of **(Property)** located at **(Location)**.
- IV. My Annual Gross Income is approximately PKR. **(Client Annual Gross Income)**.
- V. I am Operating Trading account with your company Sakarwala Capital Securities Pvt Ltd.
- VI. I will be abiding by all the rules and regulations of Sakarwala Capital Securities Pvt Ltd.

Whatever stated above is true and correct to the best of my knowledge and belief and nothing has been concealed or misstated.

Karachi.

Month Day, Year

Deponent

We,

1. _____ S/o of _____ of(address) _____.
2. _____ son of _____ of(address) _____.

Solemnly affirm that we know that above deponent and identify him as the same person as described above.

Signature of Witness (1)

Signature of Witness (2)

The above declaration has been solemnly affirmed on oath before me this _____ day of _____, 20____
by the above deponent in the presence of the above witnesses who identified the above deponent.

Place _____

Date _____

(Name and designation of the
Person administering oath)

Annexure "I"

To be Made on Rs. 100/- Stamp Paper

AFFIDAVIT

I, _____ S/o of _____ Muslim Adult, holding CNIC No. _____, resident of _____, do hereby state and declare on oath as under: -

- I. I am true deponent of this affidavit and well conversant with the facts deposed herein.
- II. I am a Retired Person, _____ are my source of Income.
- III. My Annual Gross Income is approximately PKR. _____.
- IV. My Source of Income is _____.
- V. My available funds value is _____.
- VI. My available Securities Portfolio value in other CDC Account is _____.
- VII. I am seeking to open Trading account with your company Sakarwala Capital Securities Pvt Ltd.
- VIII. I will be abiding by all the rules and regulations of Sakarwala Capital Securities Pvt Ltd.

Whatever stated above is true and correct to the best of my knowledge and belief and nothing has been concealed or misstated.

Karachi.
October 02, 2019

Deponent

Witness

We,

1. _____ S/o of _____ of(address)

2. _____ son of _____ of(address)

Solemnly affirm that we know that above deponent and identify him as the same person as described above.

Signature of Witness (1)

Signature of Witness (2)

The above declaration has been solemnly affirmed on oath before me this _____ day of _____, 20____ by the above deponent in the presence of the above witnesses who identified the above deponent.

Place _____

Date _____

(Name and designation of the
Person administering oath)